**UCL Social Research Institute** 

UK Cabinet Office, No 10 & HM Treasury workshop: 13 June 2025





# In this session

- Thinking about how AI tools work & what this means for their strengths & weaknesses for specific tasks
- Searching
- Classifying and extracting data
- Synthesis



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- How a GenAl chatbot works (simplified)
- Searching
  - Search technologies
  - 'Agentic' search
- Extract and classify
  - Retrieval Augmented Generation (RAG)
- Synthesise
  - Explainable AI

# **Building a GenAl chatbot**

Pretraining (unsupervised ML))



'Naïve' model Cannot 'chat'; nextword prediction only Fine-tuning (supervised ML)

RLHF (supervised ML)



Model can now 'chat' and answer questions Model produces 'better' and less toxic answers

Output

# **Generative LLM operation**

Input







The selected word is added to the input







Important to bear in mind that the system does not plan ahead ...and at no point does it check the accuracy of what is 'said'



Is it about topic y?

Instead of the prompt containing "There's no place like…" it could contain a question about a passage of text that is also in the prompt

# Searching for research

# Conventional tools & those that use open data

- E.g. Google Scholar, PubMed, ...
- Usually work using keyword / phrase matching
- Tools that utilise open data
  - Sadly, not all abstracts are open
- Offer ability to find 'related documents'
  - Based on machine learning
  - Based on citation networks

# **Keyword search**



The user enters keywords / a search phrase

keywords are returned

# Is mindfulness an effective intervention for smoking cessation among adults?

# Example of conventional search: OpenAlex

| OpenAlex Works - Q Search OpenAlex  | •                                | Log In Sign Up 🕜               |
|---|----------------------------------|--------------------------------|
| Unsaved search 👻  |                                  | ¢ & ≺                          |
| Show works where:   |                                  |                                |
| Related to     is      Mindfulness for smoking cessation ×  |                                  | <b>+</b> ×                     |
| • ō   |                                  |                                |
| Works ≞↓ 坐 🗄  | Stats                            | + 🕹                            |
| Both Smoking Reduction With Nicotine Replacement Therapy and Motivational Advice Increase Future  | 9 results                        | Ĝ Open Access : ×              |
| 2004 - Matthew J. Carpenter, John R. Hughes, et al <i>Journal of Consulting and Clinical Psychology</i><br>Cited by 234                             | 🖻 Year 🛛 🗄 🗙                     | <b>33.3%</b>                   |
| Smoking cessation therapy during pregnancy.<br>2012 · Alex Cressman, Anna Pupco, et al. · <i>PubMed</i><br>Cited by 18                              |                                  |                                |
| Exercise in Addition to Nicotine Replacement Therapy Improves Success Rates in Smoking Cessation  |                                  |                                |
| Cited by 6 PDF  | 🟷 Topic 🛛 🗄 🗙                    | é finstitution : ×             |
| Awareness of Nicotine Replacement Therapy Among South African Smokers and Their interest in Using It for  | Smoking Behavior and Cessation 6 | University of Vermont 1        |
| Smoking Cessation When Provided for Free<br>2013 - Israel T. Anaku, Olalekan Avo-Yusuf - <i>Nicotine &amp; Tobacco Research</i>                     | Fatty Acid Research and Health   | The University of Queensland 1 |
| Cited by 3  | Health and Lifestyle Studies 1   | Auckland City Hospital 1       |
|   |                                  | Princess Alexandra Hospital 1  |
| Pharmacology of drugs used to treat smoking cessation and obesity<br>2008 - Kevin Johns, Jiri Frohlich, et al <i>Canadian Journal of Cardiology</i> |                                  | More                           |
| Cited by 1  |                                  |                                |

## Example of citation / related papers: ConnectedPapers



# Semantic search



# Hybrid search



# **Example of hybrid search: Elicit**

| Paper       Abstract summary         Mindfulness for smoking cessation       Mindfulness for smoking cessation interventions do not show a clear benefit for increasing quit rate improving mental health outcomes compared to other treatments.         Cochrane Database of Systematic Reviews       2020-16 ctations       Dol Ø         Efficacy of mindfulness meditation for smoking cessation: A systematic review and meta-analysis.       Mindfulness meditation did not show significant effects on smoking cessation compared to other interventions, indicating low efficacy.         M. Magione +6       Mindfulness meditation did not show significant effects on smoking cessation compared to other interventions, indicating low efficacy.         Provide the intervent on provide the intervention Delivered via the interventions indicating low efficacy.       Mindfulness-based intervention effectively reduces craving but does not significantly character black Mindsue Brackmind: +2         Substance Use & Mindsue       Abiref, online mindfulness-based intervention effectively reduces craving but does not significantly character black Mindsue         Mindfulness training for smoking cessation: results from a randomized controlled trial.       Mindfulness training for smoking cessation: results from a randomized controlled trial.         M. J. Brewert +11       Drug and Accohol Dependence       Dol Ø         Mindfulness training for smoking cessation: moderation of the relationship between craving       Mindfulness training shows greater effective for smoking cessation with higher rates of abstinence and reduced cigaretit use.         Lad m | icit | 🕒 Recent 🗌 Library   |   |
|---|------|--|---|
| Mindfulness for smoking cessation       Mindfulness for smoking cessation interventions do not show a dear benefit for increasing quit rate improving mental health outcomes compared to other treatments.         Codtrane Database of Systematic Reviews       2200 - 16 database         2200 - 16 database       Of Ø         Efficacy of mindfulness meditation for smoking cessation: A systematic review and meta-analysis.       Mindfulness meditation did not show significant effects on smoking cessation compared to other reatments.         Mindfulness meditation for smoking cessation: A systematic review and meta-analysis.       Mindfulness meditation did not show significant effects on smoking cessation compared to other interventions, indicating low efficacy.         Mindfulness for a Brief Mindfulness-Based Smoking Intervention Delivered via the Intervention Sind of Systematic Controlled Trial       A brief, online mindfulness-based intervention effectively reduces craving but does not significantly character standing quantity among adults.         Mindfulness training for smoking cessation: results from a randomized controlled trial.       Mindfulness training shows greater effectiveness than standard treatments for smoking cessation, with higher rates of abstinence and reduced cigarette use.         Mindfulness training for smoking cessation: moderation of the relationship between craving       Mindfulness training may be effective for smoking cessation by reducing the correlation between craving to a more   |      | Paper  | Abstract summary  |
| Efficacy of mindfulness meditation for smoking cessation: A systematic review and meta-<br>analysis.       Mindfulness meditation did not show significant effects on smoking cessation compared to other<br>interventions, indicating low efficacy.         M. Maglione +8<br>B. Addictive Behaviours<br>2017 - 74 citations DOI Ø       Mindfulness-Based Smoking Intervention Delivered via the<br>Internet: A Randomized Controlled Trial<br>Michael Br Schmidt +2<br>Substance Use & Misuse<br>2023 - 1 citation DOI Ø       A brief, online mindfulness-based intervention effectively reduces craving but does not significantly cha<br>smoking quantity among adults.         Mindfulness training for smoking cessation: results from a randomized controlled trial.<br>A. J. Brewer +11<br>Drug and Alcholo Dependence<br>2011 - 375 citations Soure 7 DOI Ø       Mindfulness training for smoking cessation in the relationship between craving<br>Load more  |      | Mindfulness for smoking cessation         R       S. Jackson         +5         Cochrane Database of Systematic Reviews         2020 · 16 citations       DOI  | Mindfulness-based smoking cessation interventions do not show a clear benefit for increasing quit rates or improving mental health outcomes compared to other treatments. |
| Feasibility and Efficacy of a Brief Mindfulness-Based Smoking Intervention Delivered via the Internet: A Randomized Controlled Trial       A brief, online mindfulness-based intervention effectively reduces craving but does not significantly charmed significantly among adults.         Michael Br Schmidt +2       Substance Use & Misuse       Substance Use & Misuse         2023 · 1 citation       Dol Ø         Mindfulness training for smoking cessation: results from a randomized controlled trial.       Mindfulness training shows greater effectiveness than standard treatments for smoking cessation, with higher rates of abstinence and reduced cigarette use.         Drug and Alcohol Dependence       Dol Ø         Mindfulness training for smoking cessation: moderation of the relationship between craving       Mindfulness training may be effective for smoking cessation by reducing the correlation between craving Load more   |      | <ul> <li>Efficacy of mindfulness meditation for smoking cessation: A systematic review and meta-analysis.</li> <li>M. Maglione +8</li> <li>Addictive Behaviours</li> <li>2017 · 74 citations DOI Ø</li> </ul>  | Mindfulness meditation did not show significant effects on smoking cessation compared to other interventions, indicating low efficacy.                                    |
| Mindfulness training for smoking cessation: results from a randomized controlled trial.   A. J. Brewer +11   Drug and Alcohol Dependence   2011 · 375 citations   Source 7   Dol 8   Mindfulness training for smoking cessation: moderation of the relationship between craving Load more   |      | Feasibility and Efficacy of a Brief Mindfulness-Based Smoking Intervention Delivered via the Internet: A Randomized Controlled Trial         R       Michael Br Schmidt       +2         Image: Substance Use & Misuse       2023 · 1 citation       DOI | A brief, online mindfulness-based intervention effectively reduces craving but does not significantly change<br>smoking quantity among adults.                            |
| Mindfulness training for smoking cessation: moderation of the relationship between craving Load more Load more  |      | Mindfulness training for smoking cessation: results from a randomized controlled trial.         R       J. Brewer         +11         □       Drug and Alcohol Dependence         2011 · 375 citations       Source          DOI       Ø                 | Mindfulness training shows greater effectiveness than standard treatments for smoking cessation, with higher rates of abstinence and reduced cigarette use.               |
|   |      | Mindfulness training for smoking cessation: moderation of the relationship between craving   | Mindfulness training may be effective for smoking cessation by reducing the correlation between craving   |

# 'Agentic search'



user queries are transformed by the agent into text and vector searches; the database is searched; the agent then screens results, identifies related records and (often) undertakes multiple 'rounds' of searching before returning results to the user

### PaperFinder from Ai2

https://paperfinder.allen.ai/

An agentic, iterative search that analyses your query before constructing and carrying out a search using standard free text terms as well as vector indexes

Uses LLMs to help develop the search at multiple points

### ♣Ai2 Paper Finder

User Today, 6:09 p.m.

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Is mindfulness an effective intervention for smoking cessation among adults?

Analyzing request. This is how I interpreted your request:

Look for a set of papers.

**Content criteria:** Search for "whether mindfulness is an effective intervention for smoking cessation among adults"

To be considered relevant, the paper's content must satisfy:

- Mindfulness Intervention: The paper discusses mindfulness-based interventions, practices, or techniques. This includes meditation, mindful breathing, body scan, or other related approaches.
- Smoking Cessation: The paper focuses on smoking cessation, quitting smoking, or reducing cigarette consumption. It should address methods, strategies, or interventions aimed at helping individuals stop smoking.
- Adult Population: The study population consists of adults (typically 18 years or older). The paper should explicitly mention or imply that the

### ✤Ai2 Paper Finder

helping individuals stop smoking.

- Adult Population: The study population consists of adults (typically 18 years or older). The paper should explicitly mention or imply that the participants are adults.
- Effectiveness Evaluation: The paper evaluates the effectiveness of mindfulness interventions for smoking cessation. It should present data, results, or analyses that assess whether mindfulness helps adults quit or reduce smoking. This includes studies that compare mindfulness to other interventions or control groups.

| Processing request: "Find aca  | ademic papers about              |              |
|--------------------------------|----------------------------------|--------------|
| whether mindfulness is an ef   | fective intervention for         | $\checkmark$ |
| smoking cessation among ad     | ults.".                          |              |
| Searching for papers.          |                                  | $\checkmark$ |
| Running keyword and semant     | tic searches for <i>"whether</i> |              |
| mindfulness is an effective in | tervention for smoking           | $\checkmark$ |
| cessation among adults".       |                                  |              |
| Following citations that were  | mentioned in relevant            |              |
| passages.                      |                                  |              |
| Reranking candidate docume     | nts.                             | $\checkmark$ |
| Judging relevance of top doc   | uments.                          | $\checkmark$ |
| Found 34 relevant papers.      |                                  | $\checkmark$ |
| Found 30 perfectly relevant p  | apers.                           | $\checkmark$ |
| Sorting result set.            |                                  | ~            |
| Found 2 relevant papers.       |                                  | ~            |
| Found 3 perfectly relevant pa  | pers.                            | ~            |
| Finalizing response.           |                                  | ~            |
|                                |                                  |              |

# Strengths and limitations: agentic search



- Can provide more semantically powerful searches
- Less 'fragile' than a Boolean search (and not necessary to know all relevant terms in advance)
- BUT
- Dependent on the right documents being available for indexing
- Dependent on the query being translated effectively by the agent
- Little in the way of an evidence base to support their use in evidence synthesis

# Searching...

Conventional tools

<u>https://scholar.google.com</u>

Using open data for identifying related papers

- <u>https://www.connectedpapers.com/</u> (only two networks for free!)
- <u>https://openalex.org/</u>

'Semantic search'

– <u>https://elicit.com/</u> (select 'find papers')

'Agentic search'

<u>http://paperfinder.allen.ai</u>

# Your turn...

- Pick a on a topic you know well, or are currently working on
- Search for papers on:
  - Google Scholar
  - <u>ConnectedPapers</u> or <u>OpenAlex</u>
  - Elicit
  - Ai2 Paper Finder
- For each search, what do you think of the:
  - Relevance of results
  - Comprehensiveness of results
  - Signal : noise ratio?

# Extract & classify

# **Generative LLM operation (recap)**



Is it about topic y?

Instead of the prompt containing "There's no place like…" it could contain a question about a passage of text that is also in the prompt

# It's all in the prompt

- Everything used in a data extraction or classification task is in the prompt: the user inputs no training data
- E.g. uploading a document and extracting information about the intervention
  - 'in-context' learning
- E.g. uploading a document and asking the LLM to classify it in terms of its behaviour change technique
  - 'zero shot' learning

### Data (information) extraction

- Earlier language models lacked precision & limited context 'window'
- Newer models have larger windows and offer impressive early results
- E.g. Claude2, published by Anthropic

ANTHROP\C

Product Research Company News Careers

### Al <u>research</u> and <u>products</u> that put safety at the frontier

NEW

### Claude in Beta, now available!

Your friendly assistant. Fast, capable, and truly conversational.

Talk to Claude

ENTERPRISE

**Build with Claude** 

Start using Claude and unlock business value with AI.

Submit business interest

Smoking Cessation Internet Intervention for Low and High SES Groups ~ 198.93 KB

Here is a report of a randomized trial. State: the population recruited; the intervention(s) evaluated; the main outcome(s) measured; and the statistical results of the primary outcome

According to the paper, this randomized controlled trial had the following key details:

### Population:

- 4613 participants aged 18+ years who smoked daily and were willing to make a serious quit attempt in the UK.
- Recruited online, mainly via the English Department of Health website.
- Stratified into low socioeconomic status (n=2142) and high socioeconomic status (n=2471) based on occupation.

### Interventions:

- Interactive internet-based smoking cessation website called StopAdvisor.
- Control group received a 1-page static website with brief standard smoking cessation advice.

#### Main outcome:

 Primary outcome was biochemically verified 6-month sustained abstinence from smoking (self-report of smoking <5 cigarettes in the past 6 months plus saliva cotinine <15 ng/mL).</li>

### **Results:**

- No overall difference between groups in 6-month abstinence (StopAdvisor 10% vs control 10%, RR 1.06, 95% CI 0.89-1.27, p=0.49).
- In the low SES subgroup, 6-month abstinence was higher in StopAdvisor vs control (8% vs 6%, RR 1.36, 95% Cl 1.00-1.86, p=0.0499).
- No difference between groups in the high SES subgroup (StopAdvisor 12% vs control 13%, RR 0.95, 95% CI 0.77-1.17, p=0.61).

### Internet-based intervention for smoking cessation (StopAdvisor) in people with low and high socioeconomic status: a randomised controlled trial

Jamie Brown, Susan Michie, Adam WA Geraghty, Lucy Yardley, Benjamin Gardner, Lion Shahab, John A Stapleton, Robert West

### Summary

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**Background** Internet-based interventions for smoking cessation could help millions of people stop smoking at very low unit costs; however, long-term biochemically verified evidence is scarce and such interventions might be less effective for smokers with low socioeconomic status than for those with high status because of lower online literacy to engage with websites. We aimed to assess a new interactive internet-based intervention (StopAdvisor) for smoking cessation that was designed with particular attention directed to people with low socioeconomic status.

Methods We did this online randomised controlled trial between Dec 6, 2011, and Oct 11, 2013, in the UK. Participants aged 18 years and older who smoked every day were randomly assigned (1:1) to receive treatment with StopAdvisor or an information-only website. Randomisation was automated with an unseen random number function embedded in the website to establish which treatment was revealed after the online baseline assessment. Recruitment continued until the required sample size had been achieved from both high and low socioeconomic status subpopulations. Participants, and researchers who obtained data and did laboratory analyses, were masked to treatment allocation. The primary outcome was 6 month sustained, biochemically verified abstinence. The main secondary outcome was 6 month, 7 day biochemically verified point prevalence. Analysis was by intention to treat. Homogeneity of intervention effect across the socioeconomic subsamples was first assessed to establish whether overall or separate subsample analyses were appropriate. The study is registered as an International Standard Randomised Controlled Trial, number ISRCTN99820519.

**Findings** We randomly assigned 4613 participants to the StopAdvisor group (n=2321) or the control group (n=2292); 2142 participants were of low socioeconomic status and 2471 participants were of high status. The overall rate of smoking cessation was similar between participants in the StopAdvisor and control groups for the primary (237 [10%] vs 220 [10%] participants; relative risk [RR] 1.06, 95% CI 0.89–1.27; p=0.49) and the secondary (358 [15%] vs 332 [15%] participants; 1.06, 0.93–1.22; p=0.37) outcomes; however, the intervention effect differed across socioeconomic status subsamples (1.44, 0.99–2.09; p=0.0562 and 1.37, 1.02–1.84; p=0.0360, respectively). StopAdvisor helped participants with low socioeconomic status stop smoking compared with the information-only website (primary outcome: 90 [8%] of 1088 vs 64 [6%] of 1054 participants; RR 1.36, 95% CI 1.00–1.86; p=0.0499; secondary outcome: 136 [13%] vs 100 [10%] participants; 1.32, 1.03–1.68, p=0.0267), but did not improve cessation rates in those with high socioeconomic status (147 [12%] of 1233 vs 156 [13%] of 1238 participants; 0.95, 0.77–1.17; p=0.61 and 222 [18%] vs 232 [19%] participants; 0.96, 0.81–1.13, p=0.64, respectively).



#### Lancet Respir Med 2014

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Published **Online** September 25, 2014 http://dx.doi.org/10.1016/ S2213-2600(14)70195-X

See Online/Comment http://dx.doi.org/10.1016/ S2213-2600(14)70214-0

**Cancer Research UK Health** Behaviour Research Centre, Department of Epidemiology and Public Health (| Brown PhD, B Gardner DPhil, L Shahab PhD, Prof R West PhD) and Department of Clinical, Educational, and Health Psychology (Prof S Michie DPhil), University College London, London, UK; National Centre for Smoking Cessation and Training, London, UK (Prof S Michie, Prof R West); Primary Care and Population Sciences (A W A Geraghty PhD) and School of Psychology (Prof L Yardley PhD), University of Southampton, Southampton, UK; Addictions Department, Institute of Psychiatry, Kings College London, London, UK (J A Stapleton MSc) Correspondence to: Dr Jamie Brown, Health

between participants in the StopAdvisor and control, subsample (n=1687), the results were consistent with the groups for both the primary (237 [10%] vs 220 [10%] participants; relative risk [RR] 1.06, 95% CI 0.89–1.27; p=0.49) and the secondary (358 [15%] vs 332 [15%] participants; 1.06, 0.93 - 1.22; n=0.37) outcomes. However, , 818 participants; R analysis of the interaction between intervention and socioeconomic status showed clear evidence of nonignorable heterogeneity of intervention effect by both primary (RR 1.44, 95% CI 0.99-2.09; p=0.0562) and secondary  $(1 \cdot 37, 1 \cdot 02 - 1 \cdot 84; p=0 \cdot 0360)$  cessation measures. This finding was evident before and after adjustment for all other baseline characteristics (adjusted data not shown). Consequently, the analysis of outcome was done separately within each of the two socioeconomic status subsamples. In the subsample of participants with low

socioeconomic status, a benefit of StopAdvisor was evident for both primary and secondary measures compared with the information-only website, whereas in those with high socioeconomic status, no evidence of a difference was shown (table 2). Adjustment for all baseline characteristics had a negligible effect on these comparisons (table 2). In a post-hoc sensitivity analysis, we re-examined the effect of StopAdvisor on biochemically verified smoking cessation in participants with low socioeconomic status, after exclusion of those in full-time education from the classification (n=237). The benefit of StopAdvisor compared with the informationonly website remained in both unadjusted (primary outcome 87 [9%] of 967 vs 60 [6%] of 938 participants; RR 1.41, 95% CI 1.03-1.93; p=0.0346; secondary

Secondary outcome (point prev

The overall rate of smoking cessation was similar who did not have post-16 education. In this smaller primary analyses for StopAdvisor versus information on y, but were nor

> secondary outcome  $1 \cdot 21, 0 \cdot 93 - 1 \cdot 58; p =$ 1.27, 0.92-1.75;0.92 - 1.58; = 0.17analysis, we re-exai self-reported rather of smoking cessati basis of similar verification criteria new analyses show reported in table 2 ( socioeconomic sta compared with info not significant 141 [13%] of 1088 unadjusted RR 1.20 RR 1.23, 0.97-1 prevalence 227 [21%

RR 1.13, 0.95–1.34

p=0.07). That statistical

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State, in tabular form, the outcomes of the sutdy



Here is a table summarizing the key outcomes of the study:

|                    | StopAdvisor | Control | Relative Risk (95% CI) | p-value |
|--------------------|-------------|---------|------------------------|---------|
| Overall            |             |         |                        |         |
| 6-month abstinence | 10%         | 10%     | 1.06 (0.89-1.27)       | 0.49    |
| Low SES            |             |         |                        |         |
| 6-month abstinence | 8%          | 6%      | 1.36 (1.00-1.86)       | 0.0499  |
| High SES           |             |         |                        |         |
| 6-month abstinence | 12%         | 13%     | 0.95 (0.77-1.17)       | 0.61    |
| 🖞 Сору             | 1           | 1       | ,<br>                  | Ţ       |

|                | StopAdvisor            | Control        | Relative nsw (25% CI) | Odds ratio (95% CI)* | Percentage-point<br>difference (95% CI) | p value† |
|----------------|------------------------|----------------|-----------------------|----------------------|---|----------|
| Primary outcom | ne (abstinence for 6 r | nonths)        |                       |                      |   |          |
| High SES       | 147/1233 (12%)         | 156/1238 (13%) | 0·95 (0·77 to 1·17)   | 0 )4 (0·74 to 1·19)  | -0.68 (-3.27 to 1.91)                   | 0.61     |
| Adjusted       |                        |                | 0·97 (0·78 to 1·19)‡  | ·95 (0·75 to 1·22)‡  |   | 0.75     |
| L. V.SES       | 90/1088 (8%)           | 64/1054 (6%)   | 1·36 (1·00 to 1·86)   | 1·39 (1·00 to 1·94)  | 2·20 (0·02 to 4·38)                     | 0.0499   |
| Adjusteu       |                        |                | 1·43 (1·05 •          | 1·46 (1·04 to 2·05)‡ |   | 0.0238   |

rates.

The study authors did not report a risk of bias assessment using the Cochrane RoB tool. However, here are some observations about potential risks of bias based on the details provided in the paper:

- Random sequence generation: Participants were randomized using an automated unseen random number function, so this should have been adequate.
- Allocation concealment: The randomization was automated with no experimenter involvement, so allocation was likely adequately concealed.
- Blinding of participants/personnel: Not reported, but blinding of participants may not have been feasible given the difference in intervention. Personnel were likely not blinded.
- Blinding of outcome assessment: Outcome assessors were reported to be blinded to treatment allocation.
- Incomplete outcome data: Follow-up rates were 77% overall and similar between groups, with missing data treated as smoking, so the risk appears low.
- Selective reporting: The outcomes specified in the protocol appear to be reported, suggesting low risk of bias.
- Other biases: The study appears to have been adequately powered and analyzed as pre-specified, with low risks of other biases.

So in summary, the lack of blinding of participants and personnel, as well as the lack of formal risk of bias assessment by the authors, suggest some uncertainties but overall the study seems reasonably well conducted.

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# Adding columns in Elicit

| Export: Most relevant M Filters Export as V UPGRADE V 32   |   |   |   |  |  |
|--|---|---|---|--|--|
|  | Abstract summary  | Methodology $\checkmark$  | Study population $\checkmark$   |  |  |
| Iness for smoking cessation<br>Jackson +5<br>ochrane Database of Systematic Reviews<br>6 citations DOI 6   | Mindfulness-based smoking cessation interventions do not<br>show a clear benefit for increasing quit rates or improving<br>mental health outcomes compared to other treatments. | <ul> <li>Comprehensive search of databases and registries.</li> <li>Inclusion of RCTs and cluster-RCTs.</li> <li>Data analysis following standard Cochrane methods.</li> <li>Calculation of risk ratios and confidence intervals.</li> <li>Grouping of studies by intervention and comparator types.</li> <li>Use of Mantel-Haenszel random-effects models for meta-analyses.</li> <li>Narrative summary of mental health outcomes.</li> </ul>  | Adults from the community, primarily in the USA, excludin studies that solely recruited pregnant women.   |  |  |
| y of mindfulness meditation for smoking<br>ion: A systematic review and meta-analysis.<br>. Maglione +8<br>ddictive Behaviours<br>4 citations DOI ©  | Mindfulness meditation did not show significant effects on<br>smoking cessation compared to other interventions,<br>indicating low efficacy.                                    | <ul> <li>The study is a systematic review and meta-analysis of<br/>RCTs on mindfulness meditation for smoking cessation.</li> <li>Five electronic databases were searched for English-<br/>language RCTs.</li> <li>Two independent reviewers screened literature and<br/>assessed study quality.</li> <li>Meta-analyses used the Hartung-Knapp-Sidik-Jonkman<br/>method for random-effects models.</li> <li>The quality of evidence was assessed using the GRADE<br/>approach.</li> </ul> | Smokers seeking alternative interventions for smoking cessation or reduction  |  |  |
| ility and Efficacy of a Brief Mindfulness-<br>Smoking Intervention Delivered via the<br>et: A Randomized Controlled Trial<br>ichael Br Schmidt +2<br>ibstance Use & Misuse<br>citation DOI Ø | A brief, online mindfulness-based intervention effectively<br>reduces craving but does not significantly change smoking<br>quantity among adults.                               | <ul> <li>Single session, web-based mindfulness intervention for<br/>smoking cessation.</li> <li>Fully online cue exposure exercise with brief instructions<br/>on coping with cravings.</li> <li>Random assignment to mindfulness-based or coping as<br/>usual instructions.</li> <li>Outcomes: participant satisfaction, self-reported craving,<br/>and cigarette use 30 days post-intervention.</li> </ul>  | The study population consisted of 80 participants who were<br>smokers or had smoking-related issues, as they were<br>involved in a cue exposure exercise and reported on<br>cigarette use and cravings. |  |  |
| ulness training for smoking cessation:   | Mindfulness training shows greater effectiveness than   | - Randomized controlled trial with 88 participants.   | The study population consisted of 88 treatment-seeking.   |  |  |

# Extract & classify

- 1. Either using the same search as before, or a new one, use the 'add' columns' feature in Elicit.

  - Ask it to 'extract' information (e.g. participant characteristics)
     Ask it to 'classify' the research (e.g. study design / intervention type)
- 2. Upload a pdf to Gemini
  - https://gemini.google.com/app
  - Ask it to extract narrative information (e.g. description of intervention)
  - Create a new 'chat' and do the same several times
- 3. Evaluate:
  - How accurate is the extraction and classification (as far as you can tell)?
  - How consistent is the output? (Need to be aware of result caching)

# Synthesis

# Is mindfulness an effective intervention for smoking cessation among adults?



Authors' declarations of interest

Version published: 14 April 2022 Version history

https://doi.org/10.1002/14651858.CD013696.pub2

### Authors' conclusions

We did not detect a clear benefit of mindfulness-based smoking cessation interventions for increasing smoking quit rates or changing mental health and well-being. This was the case when compared with intensity-matched smoking cessation treatment, less intensive smoking cessation treatment, or no treatment. However, the evidence was of low and very low certainty due to risk of bias, inconsistency, and imprecision, meaning future evidence may very likely change our interpretation of the results. Further RCTs of mindfulness-based interventions for smoking cessation compared with active comparators are needed. There is also a need for more consistent reporting of mental health and well-being outcomes in studies of mindfulness-based interventions for smoking cessation.

Yes, mindfulness-based interventions (MBIs) have shown promising effectiveness as a tool for smoking cessation among adults, particularly when integrated with other treatment strategies.

### **Evidence from Research:**

- 1. Randomized Controlled Trials (RCTs):
  - Multiple RCTs have found that mindfulness training can reduce cigarette use, increase abstinence rates, and decrease relapse rates.
  - A well-cited study by **Brewer et al. (2011)** compared mindfulness training to the American Lung Association's Freedom From Smoking (FFS) program. Results showed that participants in the mindfulness group had significantly higher abstinence rates at 4 and 17 weeks post-quit.
- 2. Mechanisms of Action:
  - Mindfulness helps individuals become more aware of **cravings** and **emotional triggers** without reacting impulsively.
  - It reduces automaticity of smoking behavior, allowing for more conscious decision-making.
  - It may help regulate stress and negative emotions, which are common triggers for smoking.
- 3. Meta-analyses:
  - A 2020 meta-analysis published in *Nicotine & Tobacco Research* concluded that MBIs were significantly more effective than minimal interventions or no treatment, and had comparable efficacy to other active treatments like cognitive behavioral therapy (CBT).
- 4. Neuroscientific Findings:

- - •

Neuroimaging studies show mindfulness may modulate activity in brain regions involved in craving, self-control, and reward processing, such as the prefrontal cortex and insula.

Ask anything

D

# Strengths and limitations: chatbot



- Can be asked questions in standard prose
- Can provide accurate answers quickly
- But
- Frequency biased
- 'Hallucinate'
- Sounds confident, but is often wrong

### When can we use chatbots as sources of information?

Flowchart devised by Aleksandr Tiulkanov, Al and Data Policy Lawyer, January 2023



### ChatGPT ~

### Horoscope – Friday 13th June

For the Inquisitive and Evidence-Seeking Soul (Yes, You, Government Social Researchers)

### General Vibe:

Friday the 13th may have a spooky reputation, but today the real magic is in your dataset. Mercury in Gemini blesses your analytical powers — you'll notice patterns others miss (especially in that stubborn cross-tab). Proceed with confidence, but do *triangulate* your sources. The gods of qualitative insight are watching.

### Workplace Energy:

Venus aligns with your stakeholder engagement strategy, which means it's a good day to share that longgestating draft — even if it still has "TBC" in the conclusions. Be bold. Someone senior may finally *get* the nuance of your findings (or at least pretend convincingly).

### Methodological Mood:

Beware confirmation bias today — your instincts may be sharp, but even the best researcher can fall for a shiny p-value. Run that extra check. And no, a sample of 14 is still not "indicative," no matter how persuasive your slide deck font is.

### Wellbeing Forecast:

Pluto in retrograde says take a break. You are not your PowerPoint deck. Step away from the screen, grab a coffee, and contemplate life beyond red/amber/green RAG ratings.

### Tucky Insight:

A surprising finding may emerge from the footnotes. Don't ignore the small print today — it could contain the seed of your next big policy brief.

## **Retrieval Augmented Generation**



User queries are translated into vectors; the 'closest' chunks of documents to that query are located; the LLM then generates an answer to the user's query, based on the chunks of text returned

### Is mindfulness an effective intervention for smoking cessation among adults

☆ Perplexity ☆ Sources 9

 The Cochrane Databas...
 Mindfulness for smoking cessation - PMC - PubMed... JMIR Research Protoc...
 Mindfulness-Based
 Smoking Cessation...

Mindfulness based treatment for smoking... cochrane.org
 Can mindfulness help
 people to stop smoking? -...

### Effectiveness of Mindfulness for Smoking Cessation

### Summary of Evidence

There is mixed evidence on the effectiveness of mindfulness-based interventions (MBIs) for smoking cessation among adults. Some systematic reviews and meta-analyses indicate no clear or conclusive evidence that mindfulness-based treatments are more effective than standard care in helping people quit smoking or improving mental health outcomes 1 4. However, other studies and clinical trials suggest that mindfulness can increase smoking cessation rates and aid in recovery from lapses 2 6 8.

### Key Findings from Research

- Potential Benefits:
  - Mindfulness interventions teach individuals to observe cravings and negative emotions without reacting, which may help reduce the automatic response to smoke when triggered 2 9.
  - Some studies show that MBIs can increase abstinence rates and reduce cigarette consumption, particularly by improving emotion regulation and self-efficacy 3 6 5.
  - Mindfulness-based relapse prevention has been found to be more effective than traditional relapse prevention in some populations, particularly among racially and

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James Thomas  $\sim$ 

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Mindfulness and Smoking Cessation hinspace hinspace

••••• Research report View only  $\checkmark$ 

MAY 19, 2025

## Is mindfulness an effective intervention for smoking cessation among adults?

Meta-analysis and multiple studies show that mindfulness interventions are generally no more effective than standard treatments for smoking cessation, though they may help reduce cigarette consumption and cravings.

#### ABSTRACT

Mindfulness interventions for adult smoking cessation yield mixed outcomes. \* Brewer et al. (2011) observed a 36% abstinence rate at treatment's end and 31% at 17 weeks (versus 15% and 6% with a standard program, respectively; p = 0.063 and 0.012). \* Davis et al. (2014b) reported 38.7% abstinence at 24 weeks among treatment initiators compared with 20.6% for usual care (p = 0.05). \* In contrast, Davis et al. (2014a), Spears et al. (2017), Janes et al. (2019), and others found no significant differences between mindfulness and control groups. \* A meta-analysis (Jackson et al., 2020) indicated risk ratios near unity when mindfulness interventions were compared with matched or less intensive treatments. \*

Some studies noted that mindfulness training reduced daily cigarette consumption, alleviated urges, or produced neural changes correlated with smoking reduction. \* Mindfulness programs were delivered in varied formats, including in-person groups and smartphone apps, with adherence levels ranging from 67% to 88% in studies that quantified retention. \* These findings support the view that mindfulness-based approaches may improve certain aspects of smoking behavior. \* However, only a minority of studies reported statistically significant advantages in abstinence outcomes compared with standard interventions. \*



★ Upgrade

Help  $\vee$ 

# Strengths and limitations: retrieval augmented generation



- Can provide a powerful interactive experience where users can 'chat' to their documents using standard prose
- BUT
- Has many of the limitations of BOTH chatbots and vector indexes:
  - Can hallucinate
  - Requires good translation from query to retrieval AND question to the LLM
  - What if all the relevant documents are not retrieved?
  - What if irrelevant documents are retrieved?

# **Explainable AI?**



- Some tools try to explain the results you see
- In supervised machine learning tools, two models are sometimes used:
  - 1. to make the prediction
  - 2. to identify snippets of text that were related to the topic of the prediction
- Two models are being used and one does not necessarily explain the other
- Generative ML applications are even more like a 'black box'
  - Experiment with <u>https://playground.allenai.org/</u>
  - Click Show OLMoTrace
  - Are you convinced?

Ai2 Playground is a free scientific and educational tool and by using it you agree to Ai2's <u>Terms of use</u>, <u>Privacy policy</u>, and <u>Responsible use guidelines</u>. This site is protected by reCAPTCHA and the Google <u>Privacy Policy</u> and <u>Terms of Service</u> apply.



Is mindfulness an effective intervention for smoking cessation among adults?



Mindfulness has shown potential as an effective intervention for smoking cessation among adults, though results can vary. Here are a few points relevant to this topic:

Research Support: Mindfulness-based interventions (MBIs) have been explored in several studies for their effectiveness in smoking cessation. Studies have shown that mindfulness training can help individuals to become more aware of their smoking habits and triggers, and thereby empower them to make conscious decisions about smoking.

A 2014 Cochrane review found that mindfulness training may be effective in helping people quit smoking. However, the authors noted that more research was needed to confirm these findings.

### OLMo 2 32B Instruct

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### OLMoTrace

Documents from the training data that have exact text matches with the model response. Powered by <u>infini-gram</u> More about how OLMoTrace works

Clear selection  $\,$  X

### 10 documents matching the selected span

### MEDIUM RELEVANCE

Pre-training document from:

olmo-mix-1124 > web corpus (DCLM)

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and

"...other treatments.[154] With more complex and chronic forms of depression, a combination of medication and psychotherapy may be used.[155][156] **A 2014 Cochrane review found that** work-directed interventions combined with clinical interventions helped to reduce sick days taken by people with depression. [157] There is moderate-quality evidence that psychological..."

View Document

|        | Pre-training document from: <u>olmo-mix-1124</u> > web corpus (DCLM)   | × |   |                            |
|--------|--|---|---|----------------------------|
| OLMo 2 | URL: https://mdwiki.org/wiki/Major_depressive_disorder   |   |   |                            |
|        | "appear to decrease depression.[149] Exercise may be recommended to people who are willing, motivated, and physically healthy enough to participate in an exercise program as treatment.[148]  | • | g data that have<br>model response.   |                            |
|        | In observational studies, smoking cessation has benefits in depression as large as or larger than those of medications.<br>[151]   |   | S WOLKS   |                            |
|        | Besides exercise, sleep and diet may play a role in depression, and interventions in these areas may be an effective add-<br>on to conventional methods.[152]  |   | e selected span   | Î                          |
|        | Talking therapies  |   |   |                            |
|        | Talking therapy (psychotherapy) can be delivered to individuals, groups, or families by mental health professionals. A 2017 review found that cognitive behavioral therapy appears to be similar to antidepressant medication in terms of effect. [153] A 2012 review found psychotherapy to be better than no treatment but not other treatments.[154] With more complex and chronic forms of depression, a combination of medication and psychotherapy may be used.[155][156] <b>A 2014 Cochrane review found that</b> work-directed interventions combined with clinical interventions helped to reduce sick days taken by people with depression.[157] There is moderate-quality evidence that psychological therapies are a useful addition to standard antidepressant treatment of treatment-resistant depression in the short term.[158] Psychotherapy has been shown to be effective in older people.[159][160] Successful psychotherapy appears to reduce the requirement of treatment of treatment by appears to reduce the requirement procession over after it has been stopped or replaced by accessful psychotherapy appears to reduce the requirement of the properties of depression and psychotherapy appears to reduce the requirement of the properties of depression in the short term.[158] |   | m:<br>IS (DCLM)<br>] With more<br>ms of<br>on of<br>herapy may be<br><b>Cochrane</b><br>-directed | ong<br>ral<br>ess<br>, and |
|        | Cognitive behavioral therapy   |   | with clinical<br>reduce sick  |                            |
|        | Cognitive behavioral therapy (CBT) currently has the most research evidence for the treatment of depression in children<br>and adolescents, and CBT and interpersonal psychotherapy (IPT) are preferred therapies for adolescent depression.[161]<br>In people under 18, according to the National Institute for Health and Clinical Excellence, medication should be offered<br>only in conjunction with a psychological therapy, such as CBT, interpersonal therapy, or family ther"   | • | th depression.<br>-quality<br>gical"<br>span  | ple<br>ese                 |

Model:

Important questions to ask of LLM-based evidence synthesis tools

- For chatbots:
  - Can I verify its accuracy?
  - (Does it matter if not?)
  - Consistency
- For search tools:
  - Are the records I need indexed?
  - How can I check that its retrieved everything it should?
- For 'RAG'-based approaches:
  - Are the right documents indexed?
  - Are the right documents retrieved?
  - Are incorrect documents avoided?
  - If present, does the summariser check that the research is reliable / that combining them is a valid thing to do?

# Try some synthesis using retrieval augmented generation

- 1. Try your search on <u>www.google.com</u> (really!)
- 2. Try your search on <a href="https://playground.allenai.org/">https://playground.allenai.org/</a>
- 3. Try your search on one of:
  - <u>https://www.perplexity.ai/</u>
  - <u>https://elicit.com/</u>
  - https://qa.allen.ai/
- 4. Evaluate your results (see next slide)
- If you have time, explore OLMoTrace on the Playground for Explainable AI
  - <u>https://playground.allenai.org/</u>
  - (Does it explain what you're seeing convincingly?)

# **Evaluate**

- The retrieval
  - Is the tool using the papers you would expect it to use?
  - Is it using all of them?
  - Is it including irrelevant papers?
  - Could you say that it is correctly drawing on the sum of current knowledge on the topic?
- The generation
  - Does it appropriately synthesise the findings from the papers?
  - Does it ensure that only reliable research is used?
  - Does it ensure that findings are not 'double-counted'
- Consistency
  - Does it give you the same answers each time?

Summing up

- There are some great tools that may soon be ready for use
- Tools for searching, extraction and classification may be useful for some purposes
- Synthesis across documents needs to be carefully checked
- We need to increase our 'AI literacy' across the field to understand when and how to use (and not use) this new generation of tools





### Thank you

### **James Thomas**

EPPI-Centre website: <u>http://eppi.ioe.ac.uk</u> Email james.thomas@ucl.ac.uk BlueSky jm-thomas.bsky.social

#### **EPPI-Centre**

Social Science Research Unit Institute of Education University of London 18 Woburn Square London WC1H 0NR

Tel +44 (0)20 7612 6397 Fax +44 (0)20 7612 6400 Email eppi@ioe.ac.uk Web eppi.ioe.ac.uk/

